

## SANCTIONS CATALOGUE

The following sanctions are applied by Green Caucasus concerning suppliers, based on the violations of the requirements of Green Caucasus certification system and standard, as well as the requirements of the countries of export.

### 1. The Reason for Applying a Sanction

***Denied or limited access to an industrial unit, storehouses, tillages, processing areas, cattle-breeding facilities and units, financial and accounting documents, the personnel of the supplier, etc.***

Violation of the provisions on free access (to information, production, personnel, etc.):

- GC standard; Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system;
- GC Documents manual, form - Contract with Applicant 3.4, section 5.9:

**Sanctions:**

- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle; and**
- **In case of an obvious or continuous violation, a temporary ban for the supplier on sale with a reference to the organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by the Green Caucasus, and, in case of export, of references and markings envisaged in the country of export. The term of the ban shall be stipulated by Green Caucasus.**

### 2. The Reason for Applying a Sanction:

***Insufficient delimitation, separation and remoteness of the organic farm / production unit from the conventional /usual production (production inconsistent with the requirements of the Green Caucasus standard).***

Violation of the requirements of Green Caucasus:

- GC standard; Procedures for acquisitions and traceability; Records required), etc.;
- GC Procedures Manual – 11.4 a)

**Sanctions:**

- **Warning;**
- **A second paid inspection and taking of samples;**
- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle;**
- **In case of an obvious or continuous violation, a temporary ban for the supplier on sale with a reference to the organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by the Green Caucasus, and, in case of export, of references and markings envisaged in the country of export.**

### 3. The Reason for Applying a Sanction:

***Storing and using means not allowed by the Green Caucasus standard.***

Violation of the requirements of Green Caucasus:

- GC standard: Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system,

**Sanctions:**

- **Warning;**
- **A paid second inspection and taking of samples;**
- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle; and**
- **In case of an obvious or continuous violation, a temporary ban for the supplier on sale with a reference to the organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by Green Caucasus, and, in case of export, of references and markings envisaged in the country of export.**

### 4. The Reason for Applying a Sanction:

***Use of means from inorganic/conventional sources not allowed by Green Caucasus, though in accordance with the instruction of the Green Caucasus standard a permission ought to have been received on their use (e. g. use of seeds and vegetative material, animal and veterinary means from inorganic / conventional sources).***

Violation of the requirements of Green Caucasus:

- GC standard: Annex 1 Principles of organic production p.17;
- GC Documents manual, form - Contract with Applicant 3.4, section 5.3

**Sanctions:**

- **In less complicated cases: improvement of record-keeping, agreements and registrations;**
- **Warning;**
- **In case of recurrence: a mandatory second inspection; and**
- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle.**

### 5. The Reason for Applying a Sanction:

**Violation of the requirements for farming, plant cultivation, horticulture, stock-breeding and stock-breeding products, beekeeping and beekeeping products, as well as production of mushrooms, collection of wild herbs and fish farming.**

Violation of the requirements of Green Caucasus:

- GC standard: Annex 1 Principles of organic production
- GC Documents manual, form - Contract with Applicant 3.4, section 5.3

**Sanctions:**

- **In more complicated cases, a warning.**
- **In case of recurrence: a mandatory second inspection;**
- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle;**

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- In case of an obvious or continuous violation, a temporary ban for the supplier on sale with a reference to the organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by the Green Caucasus, and, in case of export, of references and markings envisaged in the country of export.

## 6. The Reason for Applying a Sanction:

### ***Violation of the requirements of the Green Caucasus standard for transport, packaging and marking***

Violation of the requirements of Green Caucasus:

- GC standard: Article 3 Labelling and Claims; Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system.
- GC Documents manual, form - Contract with Applicant 3.4, section 5.3

#### **Sanctions:**

- In insignificant cases: written instruction;
- In case of medium seriousness: a warning
- Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle.

## 7. The Reason for Applying a Sanction:

### ***In case of processing: violation of instructions for labelling and minimum requirements of control in accordance with the standard and procedures of Green Caucasus for inspection visits and free access.***

Violation of the requirements of Green Caucasus:

- GC standard: Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system.
- GC Documents manual, form - Contract with Applicant 3.4, section 5.3

#### **Sanctions:**

- In case of insignificant errors of labelling: written instruction;
- In case of medium seriousness: a warning and a stronger system for notification and informing;
- Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle;
- In case of an obvious or continuous violation, a temporary ban for the supplier on sales with a reference to the organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by the Green Caucasus, and, in case of export, of references and markings envisaged in the country of export.

## 8. The Reason for Applying the Sanction:

### ***Violation of notification responsibilities (e.g.) non-submission of information on modifications in the production system, etc.).***

Violation of the requirements of Green Caucasus:

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- GC standard: Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system. Procedures etc.
- GC Procedure manual - 3.9.1
- GC Documents manual, form - Contract with Applicant 3.4, section 5.8

**Sanctions:**

- **Written instruction;**
- **Intensified responsibilities for notification and informing, including "negative reports", e. g. confirming that there have been no essential changes in the company;**
- **In case of recurrence: a warning; and**
- **In case of a regular nature: removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle.**

**9. The Reason for Applying the Sanction:**

***Violation of running documents (e.g. inventory of storehouses, diary on the boxes (stalls), journal on feeds and feeding, accounting documents, etc.)***

Violation of the requirements of Green Caucasus:

- GC standard; Annex 3 Minimum inspection requirements and precautionary measures under the inspection or certification system.

**Sanctions:**

- **Written instruction;**
- **In the case of recurrence: warning;**
- **In case of medium seriousness, a stricter written or verbal warning;**
- **In case of a regular nature: removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle.**

**10. The Reason for Applying the Sanction:**

- ***Sale of goods which has not been produced or processed in accordance with the Green Caucasus standard with the corresponding marking / emblem of Green Caucasus for organic products.***
- **Lack of determination/identification of application of different standards (e.g. GC, EC, USDA NOP, other). Lack of use of appropriate references on applied standards (in case of certification for multiple standards)**

Violation of the requirements of Green Caucasus:

- Standard: **Section on the Requirements for Import, Processing, Packaging and Sale of Organic Products**, Article 3 Labelling and Claims
- GC Procedures manual – 3.4.8 Certificate and logo use control
- GC Documents manual, form - Contract with Applicant 3.4, section 5.10

**Sanctions:**

- **Removal of references to organic farming, as well as removal of markings from all lots and production lines/cycle;**
- **Upon consent of the persons authorised for certification: in case of an obvious or continuous violation, a temporary ban for the supplier on sale with reference to the**

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organic method of production, as well as a suggestion to deprive of the right to use of the references and markings envisaged by the Green Caucasus.

The violations in the management of the supplier are generalized and presented to persons authorized for certification, who estimate the seriousness and scale of inconsistencies with the Green Caucasus standard or, in case of export, with the requirements of the country of export. Persons authorized for certification have the right to combine and generalize the sanctions. They inform the supplier and the inspectors of the envisaged sanctions immediately or on a quarterly basis, depending on the degree of seriousness.

**References:**

- **Standard:** Article 3 Labelling and Claims. Other standards (multiple standards, USDA NOP, other)
- **GC Procedures manual** – 3.4.6; 3.9.1; 11.4 a)
- **GC Documents Manual** - sections: 3.4; 5.3; 5.8; 5.9; 5.10 of form for Contract with Applicant -